Systems Infrastructure for Data Science

Web Science Group

Uni Freiburg

WS 2012/13

Lecture IX: Distributed query processing and optimization

Roadmap

- Overview
- (Query Decomposition)
- Data Localization
- Query Optimization

Query Processing Recap

Declarative query specification Q Query Processor Q query execution plan Q'

SQL

SELECT ENAME

FROM EMP, ASG

WHERE EMP.ENO = ASG.ENO

AND RESP = "Manager"

Relational Algebra

 Π_{ENAME} (EMP \bowtie_{ENO} ($\sigma_{\text{RESP="Manager"}}$ (ASG)))

Two important requirements:

- 1. Correctness: Q' must be semantically equivalent to Q.
- 2. Efficiency: Q' must have the smallest execution cost.

Cost Metrics

- Total cost
 - processing time at all sites (CPU + I/O)
 - communication time between sites
- In WANs, communication cost usually dominates.

- Query response time
 - time elapsed for executing the query

What is the difference between total cost and query response time? Does it change in distributed/parallel settings?

Complexity of Relational Algebra Operators

Operation	Complexity
Select Project (without duplicate elimination)	O(n)
Project (with duplicate elimination) Group by	O(n*log n)
Join Semijoin Division Set Operators	O(n*log n)
Cartesian Product	O(n ²)

n: relation cardinality

To reduce costs:

- ☐ The most selective operations should be performed first.
- Operations should be ordered by increasing complexity.

Query Processing in a Centralized System

Given:

EMP(ENO, ENAME, TITLE)
ASG(ENO, PNO, RESP, DUR)

Query:

Find the names of employees who are managing a project.

SELECT ENAME
FROM EMP, ASG
WHERE EMP.ENO = ASG.ENO
AND RESP = "Manager"

Two equivalent execution plans.

1

 Π_{ENAME} ($\sigma_{\text{RESP="Manager" AND EMP.ENO=ASG.ENO}}$ (EMP x ASG))

Which one to use?

2 Π_{ENAME} (EMP \bowtie_{ENO} ($\sigma_{\text{RESP="Manager"}}$ (ASG)))



Query Processing in a Distributed System

Query:

```
EMP\bowtie<sub>ENO</sub> ( \sigma<sub>RESP="Manager"</sub> ( ASG ))
```

Data fragments and their allocation to sites:

```
- Site1 : ASG1 = \sigma_{ENO \le "E3"} ( ASG ))

- Site2 : ASG2 = \sigma_{ENO > "E3"} ( ASG ))

- Site3 : EMP1 = \sigma_{ENO \le "E3"} ( EMP ))

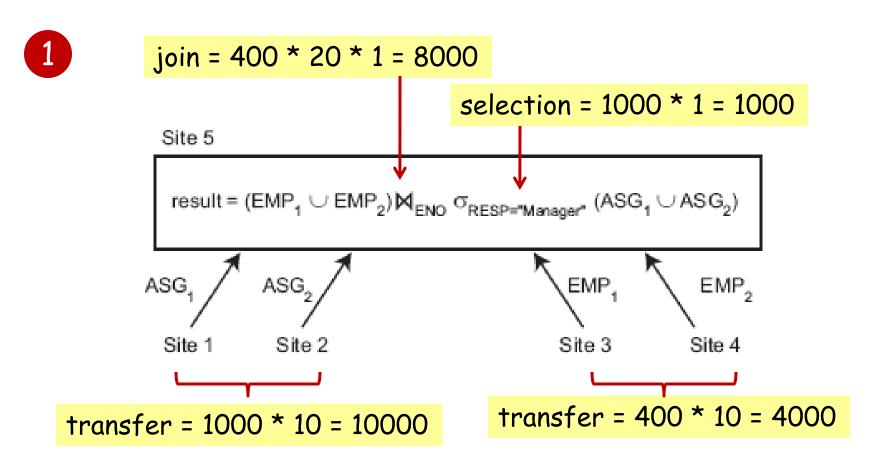
- Site4 : EMP2 = \sigma_{ENO > "E3"} ( EMP ))
```

- Site5: Result

Assumptions:

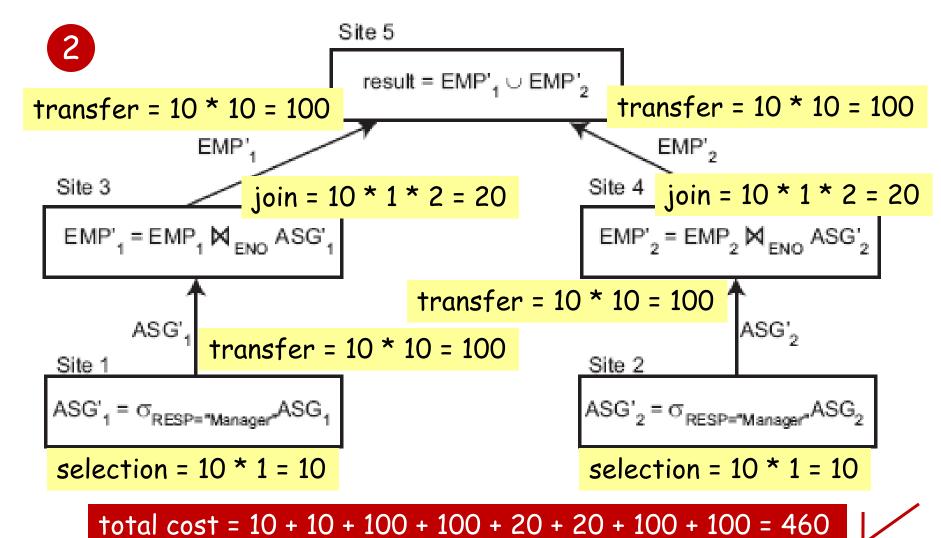
- size(EMP) = 400, size(ASG) = 1000, size($\sigma_{RESP="Manager"}$ (ASG)) = 20
- tuple access cost = 1, tuple transfer cost = 10
- EMP locally indexed on ENO, ASG locally indexed on RESP
- uniform data distribution across sites

Query Processing in a Distributed System



total cost = 10000 + 4000 + 1000 + 8000 = 23000

Query Processing in a Distributed System

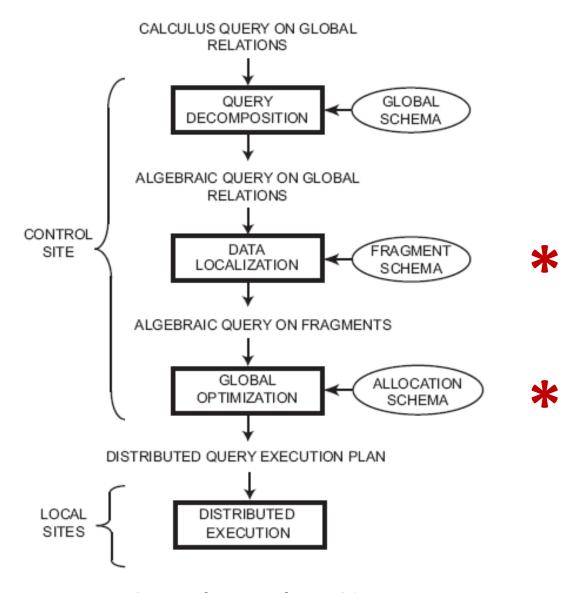


General Query Optimization Issues

- Algorithmic approach:
 - Cost-based vs. Heuristics-based
- Granularity:
 - Single query at a time vs. Multi-query optimization
- Timing:
 - Static vs. Dynamic vs. Hybrid
- Statistics:
 - what to collect, accuracy, independence, uniformity
- Decision mechanism:
 - Centralized vs. Distributed vs. Hybrid
- Network topology:
 - WANs vs. LANs

Specific to distributed query processing

Distributed Query Processing



Query Decomposition

- Goal: To convert global declarative query into a correct and efficient global procedural query
- Query decomposition consists of 4 steps:
 - 1. Normalization
 - Transformation of query predicates into normal form
 - 2. Semantic Analysis
 - Detection and rejection of semantically incorrect queries
 - 3. Simplification
 - Elimination of redundant predicates
 - 4. Restructuring
 - Transformation of the query into algebraic form
 - No distribution-related processing.

Sample Query

Transformation of the query into algebraic form

Given: EMP(ENO, ENAME, TITLE)

ASG(ENO, PNO, RESP, DUR)

PROJ(PNO, PNAME, BUDGET, LOC)

Query: Find the names of employees

other than J. Doe who worked

on the CAD/CAM project

for either 1 or 2 years.

SELECT **ENAME**

EMP, ASG, PROJ FROM

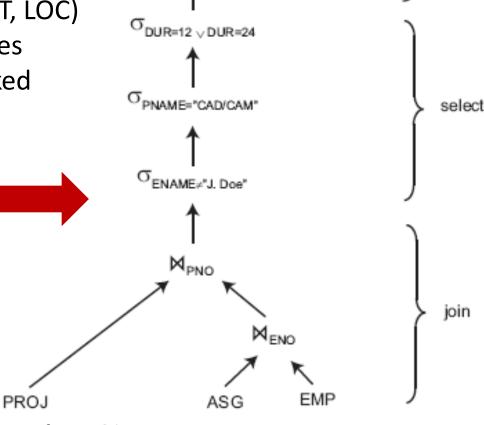
WHERE ASG.ENO = EMP.ENO

AND ASG.PNO = PROJ.PNO

AND ENAME ≠ "J. Doe"

AND PROJ.PNAME = "CAD/CAM"

AND (DUR = 12 OR DUR = 24)



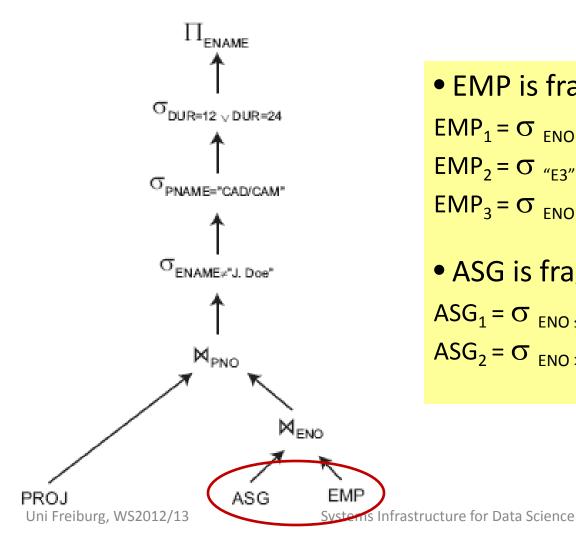
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project

- Goal: To convert an algebraic query on global relations into an algebraic query on physical fragments
- General approach:
 - 1. Generate a localized query by substituting each global relation in the leaves of the operator tree by the appropriate subtree on fragments.
 - Union for horizontal fragments
 - Join for vertical fragments
 - Apply reduction techniques on the localized query to generate a simpler and an optimized operator tree.

Data Localization Example

Query plan on global relations



EMP is fragmented as follows:

 $EMP_1 = \sigma_{ENO < "F3"}(EMP)$

 $EMP_2 = \sigma_{F3'' < FNO < FNO$

 $EMP_3 = \sigma_{ENO > "F6"} (EMP)$

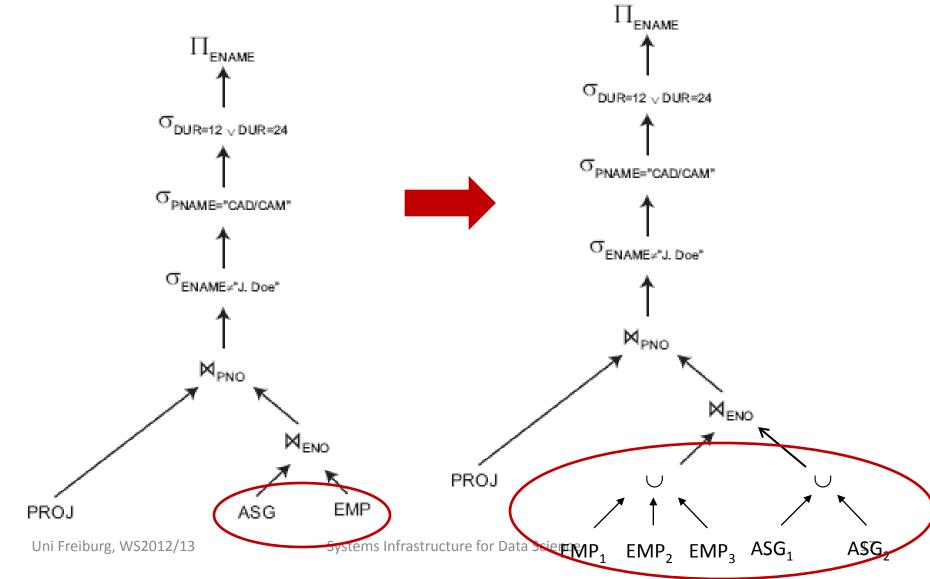
ASG is fragmented as follows:

$$ASG_1 = \sigma_{FNO < "F3"} (ASG)$$

$$ASG_2 = \sigma_{ENO > "E3"} (ASG)$$

Example

Query plan on global relations Localized query plan



Reduction for Primary Horizontal Fragmentation

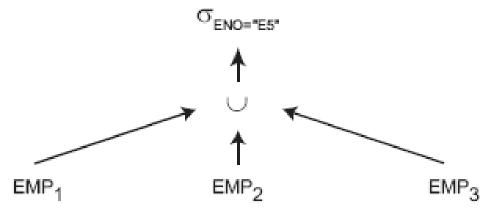
- Reduction with Selection
 - Given relation R and $F_R = \{R_1, R_2, ..., R_w\}$ where $R_j = \sigma_{p_j}(R)$:

$$\sigma_{p_i}(R_j) = \phi$$
, if $\forall x$ in R: $\neg(p_i(x) \land p_j(x))$

Example: EMP is fragmented as before.

SELECT *
FROM EMP
WHERE ENO = "E5"

Localized query



Reduced query



$$EMP_1 = \sigma_{ENO \leq "E3"} (EMP)$$

$$EMP_2 = \sigma_{"F3" < FNO < "F6"} (EMP)$$

$$EMP_3 = \sigma_{ENO \ge "E6"} (EMP)$$

• ASG is fragmented as follows: $p_i(x) \wedge p_i(x)$

$$ASG_1 = \sigma_{ENO \leq "E3"} (ASG)$$

$$ASG_2 = \sigma_{FNO > "F3"} (ASG)$$

• EMP is fragmented as follows: Horizontal Fragmentation

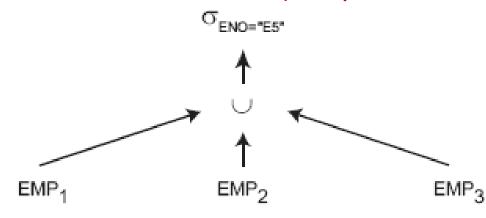
$$\{R_1, R_2, ..., R_w\}$$
 where $R_j = \sigma_{p_j}(R)$:

$$p_i(x) \wedge p_i(x)$$

ted as before.

SELECT **FROM EMP** WHERE ENO = "E5"

Localized query



Reduced query



Reduction for Primary Horizontal Fragmentation

- Reduction with Join
 - Apply when fragmentation is done on the join attribute
 - Distribute Joins over Unions

$$(R_1 \cup R_2) \bowtie S \Leftrightarrow (R_1 \bowtie S) \cup (R_2 \bowtie S)$$

Eliminate useless Joins

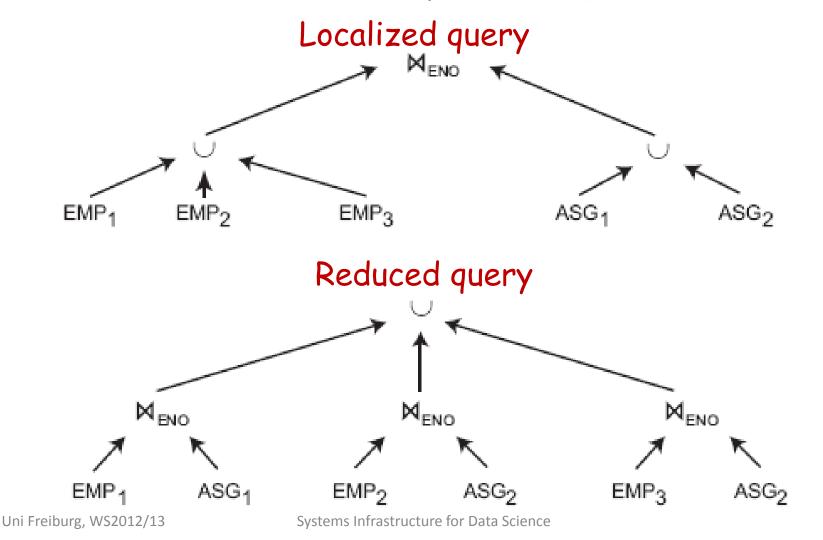
$$R_i \bowtie R_j = \emptyset$$
, if $\forall x \text{ in } R_i$, $\forall y \text{ in } R_j : \neg(p_i(x) \land p_j(y))$

- Example:
 - EMP and ASG are fragmented as before.

```
SELECT *
FROM EMP, ASG
WHERE EMP.ENO = ASG.ENO
```

Reduction for Primary Horizontal Fragmentation

Reduction with Join Example (cont'd):



21

$$EMP_1 = \sigma_{ENO \leq "E3"} (EMP)$$

$$EMP_2 = \sigma_{\text{"E3"} < ENO \le \text{"E6"}} (EMP)$$

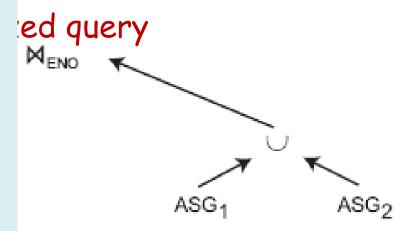
$$EMP_3 = \sigma_{ENO \ge "E6"} (EMP)$$

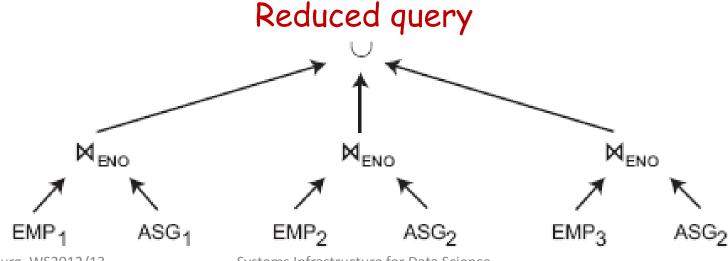
ASG is fragmented as follows:

$$ASG_1 = \sigma_{ENO \leq "E3"} (ASG)$$

$$ASG_2 = \sigma_{ENO > "E3"} (ASG)$$

• EMP is fragmented as follows: Horizontal Fragmentation mple (cont'd):





Data Localization Reduction for Vertical Fragmentation

- Reduction with Projection
 - Given a relation R defined over attributes $A = \{A_1, ..., A_n\}$ and vertically fragmented as $R_i = \Pi_{A'}(R)$ where $A' \subseteq A$:

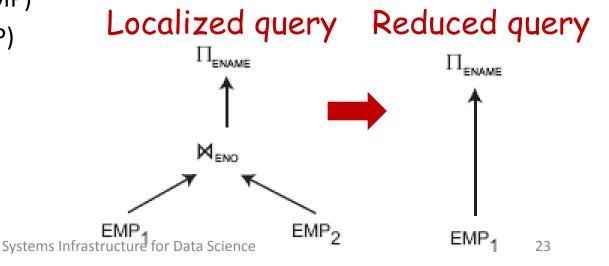
 $\Pi_{D,K}(R_i)$ is useless, if the set of projection attributes D is not in A'.

Example:

– EMP is vertically fragmented as follows:

$$EMP_1 = \Pi_{ENO,ENAME}$$
 (EMP)
 $EMP_2 = \Pi_{ENO,TITLE}$ (EMP)

SELECT ENAME FROM EMP



Reduction for Derived Horizontal Fragmentation

• Example:

 ASG_1 : $ASG \bowtie_{FNO} EMP_1$

 ASG_2 : $ASG \bowtie_{ENO} EMP_2$

 EMP_1 : $\sigma_{TITLE = "Programmer"}$ (EMP)

EMP₂: σ_{TITLE ≠ "Programmer"} (EMP)

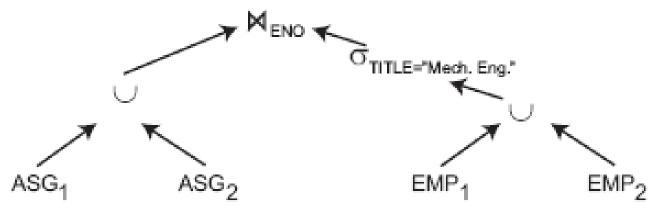
SELECT *

FROM EMP, ASG

WHERE ASG.ENO = EMP.ENO

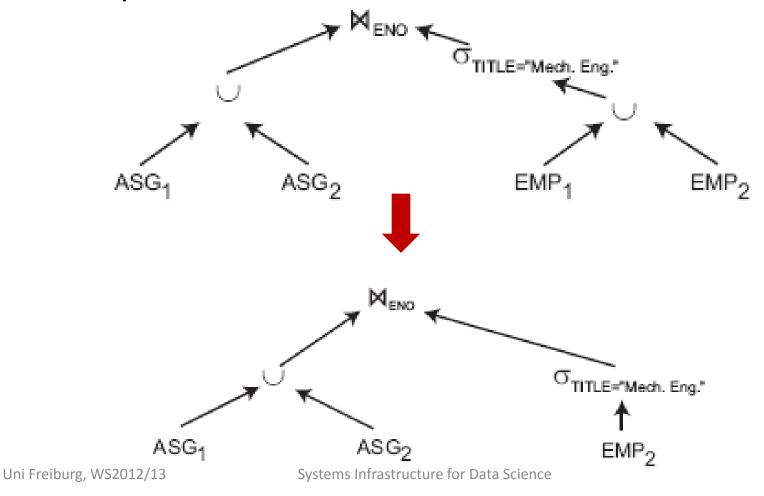
AND EMP.TITLE = "Mech. Eng."

Localized query



Reduction for Derived Horizontal Fragmentation

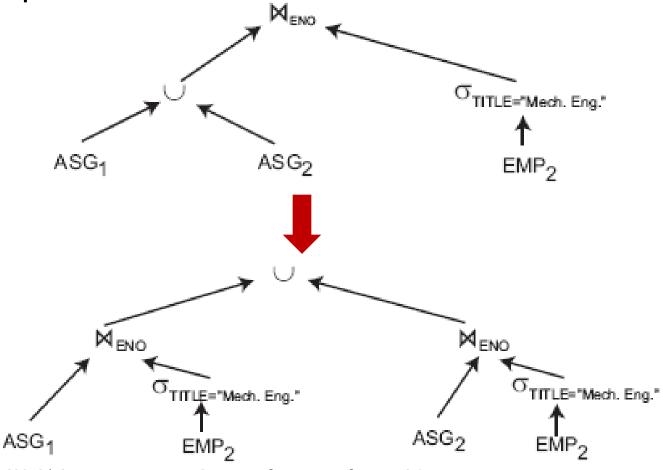
• Example cont'd:



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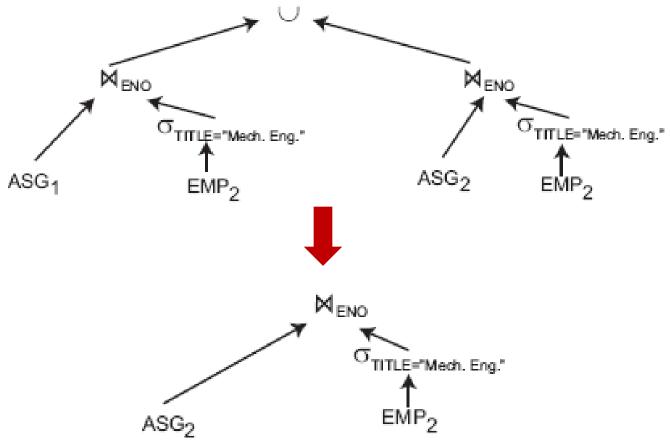
Reduction for Derived Horizontal Fragmentation

• Example cont'd:



Reduction for Derived Horizontal Fragmentation

• Example cont'd:



Data Localization Reduction for Hybrid Fragmentation

- Combine all the reduction rules:
 - Remove empty relations generated by contradicting Selections on horizontal fragments.
 - Remove useless relations generated by Projections on vertical fragments.
 - Distribute Joins over Unions in order to isolate and remove useless Joins.

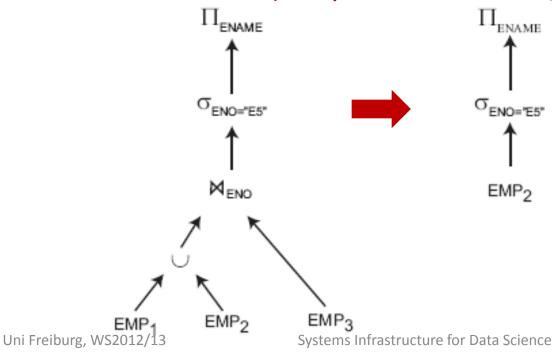
Data Localization Reduction for Hybrid Fragmentation

Example:

EMP₁ =
$$\sigma_{ENO \leq "E4"}$$
 ($\Pi_{ENO, ENAME}$ (EMP))
EMP₂ = $\sigma_{ENO > "E4"}$ ($\Pi_{ENO, ENAME}$ (EMP))
EMP₃ = $\Pi_{ENO, TITLE}$ (EMP)

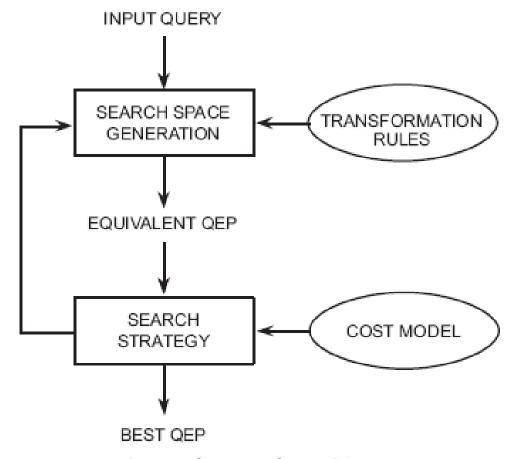
SELECT ENAME FROM **EMP** WHERE ENO = "E5"

Localized query Reduced query



Query Optimization Recap

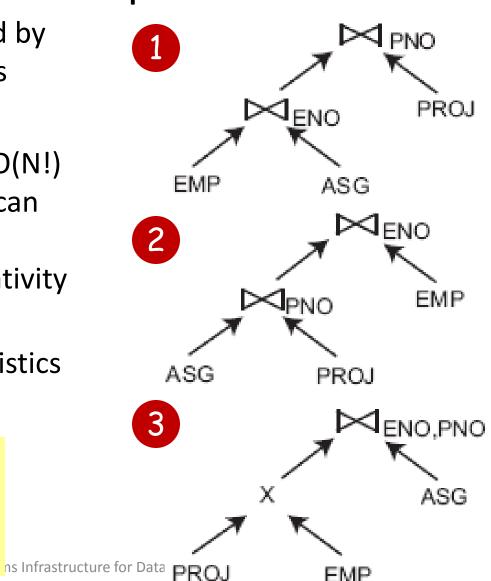
 Goal: To convert an algebraic query on physical fragments into an optimized query execution plan



Query Optimization Search Space

- Search space characterized by alternative execution plans
- Focus on Join trees
- For N relations, there are O(N!)
 equivalent Join trees that can
 be obtained by applying
 commutativity and associativity
 rules
- Restrict the space w/ heuristics
- Example:

SELECT ENAME, RESP FROM EMP, ASG, PROJ WHERE EMP.ENO = ASG.ENO AND ASG.PNO = PROJ.PNO



Query Optimization Search Strategy

- How to explore the plans in the search space
- Deterministic strategies
 - Start from base relations and build plans by adding one relation at each step
 - Dynamic programming (breadth-first approach) -> Best plan is guaranteed
 - Greedy (depth-first approach)
- Randomized strategies
 - Search for optimalities around a particular starting point
 - Trade optimization time for execution time
 - Best plan is not guaranteed
 - Simulated annealing
 - Iterative improvement

Query Optimization Cost Model

- Cost metrics (i.e., what to optimize?)
 - Total time
 - Response time
- Database statistics (i.e., what needs to be known?)
 - Several statistics about relations, fragments, attributes need to be maintained.
 - Intermediate relation sizes/cardinalities need to be computed.
 - size(R) = cardinality(R) * length(R)

Cost Model Metrics

- Total cost = CPU cost + I/O cost + Communication cost
 - = Unit instruction cost * # of instructions
 - + Unit disk I/O cost * # of disk I/Os
 - + Message initiation + Transmission
 - WANs: Communication cost dominates.
 - LANs: All cost are equally important.
 - To reduce total cost, cost of each component should be reduced.
- Response time is similar except that parallel components should be counted only once.
 - To reduce response time, process as many things in parallel as possible (which may actually result in higher total cost).

Centralized Query Optimization Overview

- Static query optimization
 - Query optimization takes place at compile time, based on a cost model.
 - Example: System R [Selinger et al, IBM Almaden, 1970s]
- Dynamic query optimization
 - Query optimization and execution steps are interleaved.
 - Example: INGRES [Stonebraker et al, UC Berkeley, 1970s]
- Static-Dynamic hybrid
 - Optimized plans generated at compile time are later reoptimized at run time.

Centralized Query Optimization System R Algorithm (Recap)

- Two main steps:
 - 1. For each relation R, determine the best access path.
 - 2. For each relation R, determine the best join ordering.
- For Joins, there are two alternative algorithms:
 - Nested-Loop

For each tuple of external relation R (cardinality n_1)

For each tuple of internal relation S (cardinality n_2)

Join two tuples if the join predicate is true

2. Sort-Merge

Sort R and S

Merge R and S

System R Algorithm Example (cont'd)

- Step 1: Determine the best access path for EMP, ASG, PROJ.
 - EMP: sequential scan (no selection)
 - ASG: sequential scan (no selection)
 - PROJ: use the index on PNAME (selection on PNAME)
- Step 2: Determine the best join ordering.
 - EMP™ASG™ PROJ
 - ASG ⋈ PROJ ⋈ EMP
 - PROJ ⋈ ASG ⋈ EMP
 - ASG ⋈ EMP™ PROJ
 - $EMP \times PROJ \bowtie ASG$
 - PROJ × EMP™ ASG

Centralized Query Optimization INGRES Algorithm

- Heuristic: Try to minimize the intermediate result sizes
- Decompose an n-variable query q into a series of queries

$$q_1 \rightarrow q_2 \rightarrow ... \rightarrow q_n$$

where q_i uses the result of q_{i-1} .

- Detachment
 - Decompose query q into $q' \rightarrow q''$, where q' and q'' have a common variable which is the result of q'.
- Tuple substitution
 - Replace the value of each tuple with actual values and simplify the query:

$$q(V_1, V_2, ..., V_n) \rightarrow (q'(t_1, V_2, V_2, ..., V_n), t_1 \in R)$$

INGRES Algorithm Example

Find the names of employees working on the CAD/CAM project.

SELECT EMP.ENAME
FROM EMP, ASG, PROJ
WHERE EMP.ENO = ASG.ENO
AND ASG.PNO = PROJ.PNO
AND PROJ.PNAME = "CAD/CAM"



q11: SELECT PROJ.PNO INTO JVAR

FROM PROJ

WHERE PNAME = "CAD/CAM"

q': SELECT EMP.ENAME
FROM EMP, ASG, JVAR
WHERE EMP.ENO = ASG.ENO
AND ASG.PNO = JVAR.PNO

INGRES Algorithm Example (cont'd)

q': SELECT EMP.ENAME

FROM EMP, ASG, JVAR

WHERE EMP.ENO = ASG.ENO AND ASG.PNO = JVAR.PNO

Detachment

q12: SELECT ASG.ENO INTO GVAR

FROM ASG, JVAR

WHERE ASG.PNO = JVAR.PNO

q13: SELECT EMP.ENAME

FROM EMP, GVAR

WHERE EMP.ENO = GVAR.ENO

INGRES Algorithm Example (cont'd)

q13: SELECT EMP.ENAME FROM EMP, GVAR

WHERE EMP.ENO = GVAR.ENO

Tuple substitution

Assuming GVAR has two tuples: (E1), (E2)

q131: SELECT EMP.ENAME

FROM EMP

WHERE EMP.ENO = "E1"

q132: SELECT EMP.ENAME

FROM EMP

WHERE EMP.ENO = "E2"

Distributed Query Optimization Overview

- New considerations
 - Join ordering in a distributed setting
 - Using Semijoin
- Distributed algorithms
 - Distributed INGRES
 - Distributed System R (i.e., System R*)
 - SDD-1 based on Hill Climbing

Join Ordering in a Distributed Setting

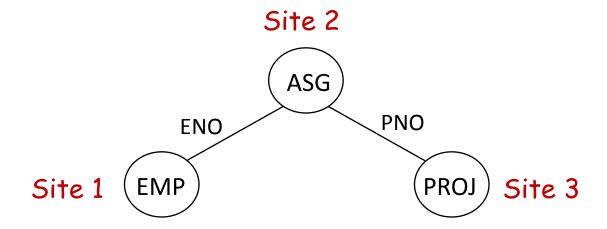
- Simplest scenario:
 - $R \bowtie S$, when R and S are at different sites

 When there are more than two relations, we need to worry about intermediate result sizes since these will have to be shipped between sites.

Join Ordering in a Distributed Setting Example

- Query:
 - $PROJ \bowtie_{PNO} ASG \bowtie_{ENO} EMP$

Join graph:

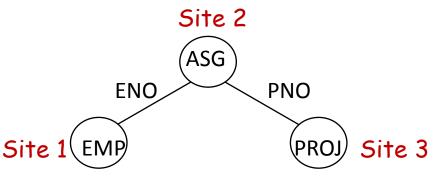


Join Ordering in a Distributed Setting Example (cont'd)

Alternative execution plans:

- 1. EMP \rightarrow Site 2
 - At Site 2: $EMP' = EMP \bowtie ASG$
 - $EMP' \rightarrow Site 3$
 - At Site 3: EMP' ™ PROJ
- 2. ASG \rightarrow Site 1
 - At Site 1: $EMP' = EMP \bowtie ASG$
 - $EMP' \rightarrow Site 3$
 - At Site 3: EMP' ⋈ PROJ
- 3. ASG \rightarrow Site 3
 - At Site 3: $ASG' = ASG \bowtie PROJ$
 - $ASG' \rightarrow Site 1$
 - At Site 1: ASG' ⋈ EMP

- 4. PROJ \rightarrow Site 2
 - At Site 2: $PROJ' = PROJ \bowtie ASG$
 - $PROJ' \rightarrow Site 1$
 - At Site 1: PROJ'™ EMP
- 5. EMP \rightarrow Site 2
 - $PROJ \rightarrow Site 2$
 - At Site 2: EMP ⋈ PROJ ⋈ ASG



Using Semijoin

Equivalence rules:

$$R\bowtie_A S \Leftrightarrow (R\bowtie_A S)\bowtie_A S$$
 $\Leftrightarrow R\bowtie_A (S\bowtie_A R)$
 $\Leftrightarrow (R\bowtie_A S)\bowtie_A (S\bowtie_A R)$

• Example: R @ Site1, S @ Site2. Assume size(R) < size(S).

1 $(R \bowtie_A S) \bowtie_A S$ At Site2: $S' = \prod_A (S)$ $S' \rightarrow Site 1$ At Site 1: $R' = R \bowtie_A S'$ $R' \rightarrow Site 2$ At Site 2: $R' \bowtie_A S$

2 $R\bowtie_A S$ $R \to Site2$ At Site2: $R\bowtie_A S$

> 1 is better than 2 if: $size(\Pi_A(S)) + size(R \bowtie_A S')) < size(R)$

Distributed Query Optimization Algorithms A Comparative Overview

Algorithms	Opt. Timing	Objective Function	Opt. Factors	Network Topology	Semijoin	Stats	Fragments
Dist. INGRES	Dynamic	Resp. time or Total time	Msg. Size, Proc. Cost	General or Broadcast	No	1	Horizontal
R*	Static	Total time	No. Msg., Msg. Size, IO, CPU	General or Local	No	1, 2	No
SDD-1	Static	Total time	Msg. Size	General	Yes	1,3,4, 5	No

^{1:} relation cardinality; 2: number of unique values per attribute; 3: join selectivity factor;

^{4:} size of projection on each join attribute; 5: attribute size and tuple size

R* Algorithm Architecture

- Master site
 - Overall coordination
 - Inter-site decisions (execution sites, fragments, data transfer methods, etc.)
- Apprentice sites
 - Local decisions (local join ordering, local access plans, etc.)

R* Algorithm Data Transfer Alternatives

- Ship-whole
 - larger data transfer
 - smaller number of messages
 - better if relations are small
- Fetch-as-needed
 - number of messages = O(cardinality of external relation)
 - data transfer per message is minimal
 - better if relations are large and the selectivity is good

- 1. Move outer relation tuples to the site of the inner relation
 - Retrieve outer tuples
 - Send them to the inner relation site
 - Join them as they arrive

Total Cost = cost(retrieving qualified outer tuples)

- + # of outer tuples fetched * cost(retrieving qualified inner tuples)
- + msg. cost*(# of outer tuples fetched*avg. outer tuple size)/msg. size

- 2. Move inner relation to the site of outer relation
 - cannot join as they arrive; they need to be stored

Total Cost = cost(retrieving qualified outer tuples)

- + # of outer tuples fetched *
 cost(retrieving matching inner tuples
 from temporary storage)
- + cost(retrieving qualified inner tuples)
- + cost(storing all qualified inner tuples in temporary storage)
- + msg. cost*(# of inner tuples fetched*avg. inner tuple size)/msg. size

Move both inner and outer relations to another site

Total Cost = cost(retrieving qualified outer tuples)

- + cost(retrieving qualified inner tuples)
- + cost(storing inner tuples in storage)
- + msg. cost*(# of outer tuples fetched*avg. outer tuple size)/msg. size
- + msg. cost*(# of inner tuples fetched*avg. inner tuple size)/msg. size
- + # of outer tuples fetched*cost(retrieving inner tuples from temporary storage)

4. Fetch inner tuples as needed

- Retrieve qualified tuples at outer relation site
- Send request containing join column value(s) for outer tuples to inner relation site
- Retrieve matching inner tuples at inner relation site
- Send the matching inner tuples to outer relation site
- Join as they arrive

```
Total Cost = cost(retrieving qualified outer tuples)
```

- + msg. cost * (# of outer tuples fetched)
- + # of outer tuples fetched * (# of inner tuples fetched *

avg. inner tuple size * msg. cost/msg. size)

+ # of outer tuples fetched * cost(retrieving matching inner tuples for one outer value)

Hill Climbing Algorithm

Assume join is between three relations.

Step 1: Do initial processing

Step 2: Select initial feasible solution (ESO)

- Determine the candidate result sites sites where a relation referenced in the query exist
- Compute the cost of transferring all the other referenced relations to each candidate site
- ESO = candidate site with minimum cost

Step 3: Determine candidate splits of ESO into {ES1, ES2}

- ES1 consists of sending one of the relations to the other relation's site
- ES2 consists of sending the join of the relations to the final result site

Hill Climbing Algorithm (cont'd)

- Step 4: Replace ESO with the split schedule which gives cost(ES1) + cost(local join) + cost(ES2) < cost(ES0)
- Step 5: Recursively apply steps 3–4 on ES1 and ES2 until no such plans can be found
- Step 6: Check for redundant transmissions in the final plan and eliminate them.

(see the example in [1])

Hill Climbing Algorithm Problems

 Greedy algorithm => determines an initial feasible solution and iteratively tries to improve it

If there are local minima, it may not find global minima

 If the optimal schedule has a high initial cost, it won't find it, since it won't choose it as the initial feasible solution

SDD-1 Algorithm Hill Climbing using Semijoin

Initialization

- Step 1: In the execution strategy (call it ES), include all the local processing
- Step 2: Reflect the effects of local processing on the database profile
- Step 3: Construct a set of beneficial semijoin operations (BS) as follows:

$$BS = \emptyset$$

For each semijoin SJ_i

$$BS \leftarrow BS \cup SJ_i$$
 if $cost(SJ_i) < benefit(SJ_i)$

SDD-1 Algorithm Hill Climbing using Semijoin (cont'd)

Iterative Process

- Step 4: Remove the most beneficial SJ_i from BS and append it to ES
- Step 5: Modify the database profile accordingly
- Step 6: Modify BS appropriately
 - compute new benefit/cost values
 - check if any new semijoin needs to be included in BS
- Step 7: If BS $\neq \emptyset$, go back to Step 4.

SDD-1 Algorithm Hill Climbing using Semijoin (cont'd)

Assembly Site Selection

Step 8: Find the site where the largest amount of data resides and select it as the assembly site

Postprocessing

Step 9: For each R_i at the assembly site, find the semijoins of the type $R_i \bowtie R_j$ where the total cost of ES without this semijoin is smaller than the cost with it and remove the semijoin from ES.

Step 10: Permute the order of semijoins, if doing so would improve the total cost of ES.

(see the example in [1])

Distributed Query Processing and Optimization Summary

- Query decomposition
 - Declarative form => Procedural form
 - Normalization, Analysis, Simplification, Restructuring
- Data localization
 - Localization and reduction for different types of fragmentations
- Query optimization
 - Basic components: Search space, Search strategy, Cost model
 - Centralized algorithms (INGRES, System R)
 - Distributed algorithms (Dist. INGRES, System R*, SDD-1)
 - Join ordering and Semijoins